

FACTS

WHAT DOES DIVERSIFIED ASSET MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?

What?

Non- public personal information refers to personally identifiable financial information (and any list, description, or other grouping that is derived using any personally identifiable financial information) that is not publicly available. The types of non-public personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and name and address
- Your assets and income
- Your investments and transaction history

When you are no longer our investor, we continue to share your information as described in this notice.

How?

All financial companies need to share investors' non-public personal information to run their everyday business. In the section below, we list the reasons financial companies can share their investors' non-public personal information; the reasons Diversified Asset Management ("Diversified") chooses to share; and whether you can limit this sharing

Reasons we can share your personal information	Does Diversified Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes - to offer our products and services to you	NO	We Don't Share
For joint marketing with other financial companies	NO	We Don't Share
For our affiliates' everyday business purposes - information about your transactions and experiences	NO	We Don't Share
For our affiliates' everyday business purposes - information about your creditworthiness	NO	We Don't Share
For nonaffiliates to market to you	NO	We Don't Share

Questions?

Call or email us at 303-440-2906 or rplye@diversifiedassetmanagement.com.

Who we are

Who is providing this notice?

Diversified Asset Management, Inc. ("Diversified")

What we do

How does Diversified protect my personal information?

To protect your non-public personal information from unauthorized access and use, we use various security measures that comply with federal law. These measures include the adoption of firmwide policies, certain password protected communications, and physically secured files and buildings. Additionally, we restrict access to investor personal and account information to those employees with access on a need to know basis.

Not all security measures are perfect, however, or are within our control, and third parties may access your nonpublic personal information without our consent, notwithstanding our implementation and maintenance of reasonable security practices and procedures.

How does Diversified collect my personal information?

We collect non-public personal information about our individual investors from the following sources::

- Information we receive from you on subscription agreements, investor questionnaires or other forms or documentation that you submit to us or contracts that you enter into with us, such as name, address, telephone numbers, email address, government-issued identification (such as social security numbers), information relating to background checks, bank account number and the types and amounts of investments;
- Information related to your transactions with us, our affiliates or others, such as ownership of certain types of accounts or other account data and activity;
- Information obtained from meetings, telephone conversations, and other interactions with you; and
- Information captured on our website, data room and/or investor reporting portal (as applicable), including registration information and any information captured via "cookies."

We also may collect your nonpublic personal information from others, such as affiliates or other companies, including administrative agents and know-your-client service providers.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes - information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Diversified does not have any affiliates at this time.*

Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none">▪ <i>Nonaffiliates can include third parties who perform services on our behalf, such as accounting, legal or data processing services.</i>
Joint Marketing	A formal agreement between nonaffiliated financial companies that <ul style="list-style-type: none">▪ <i>Diversified does not jointly market.</i>

Other Information

This notice replaces all previous statements of our investor privacy notice and is effective as of March 31, 2024. We reserve the right to modify our privacy policy at any time and will keep you informed of material changes.